

CONFLICT OF INTEREST



KORATA

CONFLICT OF INTEREST

1. Introduction

1.1. Our Conflict of Interest applies to all users of our Services and relevant parties of our official Terms of Service Agreement. This procedure aims to identify and prevent conflicts of interest between the Clients and us or between one Client and another.

1.2. All parties involved must comply with the procedures when filing or submitting their corresponding concern related to the conflict of interest. Our team will assess and manage the submitted concern if such a matter is relevant to our official Terms of Service Agreement.

2. Filing Procedure

2.1. If you intend to file for a conflict of interest, you must provide your full name, Trading Account number, registered email, and important information about such matter and send it to our official email address. You acknowledge that we may require additional documents and information to resolve such conflict if necessary. We may resolve and take the appropriate actions to resolve any identified conflicts of interest. However, we may reject or cancel any conflict of interest on reasonable grounds, especially if such conflict might result in further conflicts.

2.2. We reserve the right to hold, cancel, and terminate any ongoing activity in your Trading Account if we identify a conflict or once a filed conflict of interest is accepted. Additionally, if any identified or received conflict arises between or among the parties involved in our Terms of Service Agreement or other agreements or contracts, we may disclose such a conflict for the applicable party to act accordingly.

3. Identification of Conflict

3.1. For us to identify the types of conflict of interest that occur during the provision of investment and ancillary services, which may negatively affect your interests. We take into account whether the Company or the relevant person is in any of the following situations as a result of providing investment services, ancillary services, or investment services:

- i. The Company or any of its employees is likely to make a financial profit or avoid a financial loss at the Client's expense
- ii. A Client is likely to make a financial profit or avoid a financial loss at the expense of another Client

Trading on margin entails high risk and is not suitable for all investors. Past performance in any market does not indicate guaranteed future results, and trading on leverage may act against you and for you. Before you decide to trade, you should carefully assess your investment objectives, experiences, financial capabilities, and tolerance to accept the risks. There is a possibility of losing your initial investment partially or entirely. Therefore, you should not invest any funds you cannot afford to lose. You should also be aware of all the risks associated with currency exchange trading and contact an independent financial advisor in case of doubt.

- iii. The Company or any of its employees or clients receives or is intended to receive an endorsement related to a service provided to the Client by a third party in the form of money, goods, or services, apart from the standard commission or fee for that particular Service

3.2. In case of identifying conflicts, we may conduct the following measures without limitation:

- i. Prevent or manage the exchange of information between the relevant parties involved in the conflict of which exchange of such information may negatively affect the interests of one or more Clients
- ii. Oversee the relevant parties with the primary duty of providing any service to Clients with interests that may conflict
- iii. Remove all connections between the remuneration of a Client engaged in an activity and the remuneration of the other Client or profit made by such Client engaged in another activity, which conflict of interests has arisen related to those activities
- iv. Restrict or limit any party from exercising inappropriate influence over the way of any activity, Service, or investment
- v. Restrict, prevent, or manage the respective party to simultaneously or continuously get involved in, but not limited to, taking personal interests in obtaining confidential information from us or governing access to our electronic data
- vi. Protocols to prevent giving or receiving improper inducements and proper inducements are disclosed appropriately

4. Resolution

4.1. We are not obligated, in any way, to advise you during any arisen conflict. We reserve the right to disclose the general nature or sources of the conflict of interest to the relevant parties undertaking any business of the Client.

4.2. You understand and accept that all conflicts of interest will be assessed for up to 14 business days. However, such a conflict will be resolved indefinitely, depending on the type of conflict.

4.3. While all conflicts of interest are assessed constructively, you agree that conflict resolution requires action from all relevant parties. You agree that you will not disclose or publish any statement regarding such conflict before being assessed to resolve the matter. You further agree that threatening or blackmailing us or any of our workforce is strictly prohibited and may result in legal actions, regardless of the conflict that arises. Any supposed threat or blackmail to us or our workforce may result in legal actions and a restriction, termination, or cancellation of accesses, Services, and your obtained profits.



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